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II. Squeezed knots

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V. Future directions

## SQUEEZED KNOTS <sup>&</sup> SLICE TORUS **INVARIANTS**

Gary D Dunkerley

Oral Examination

NOVEMBER 15th 2023

#### I. The Milnor Conjecture

- Khovanov homology
- Lee homology
- $\bullet$  The  $s\mbox{-invariant}$
- Smooth 4-genus bound

• Proof of Milnor Conjecture

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### I. The Milnor Conjecture

### **The Milnor Conjecture**

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In this presentation, everything is smooth.

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### Theorem (Kronheimer-Mrowka '93)



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In this presentation, everything is smooth.

### Theorem (Kronheimer-Mrowka '93)



We will present a simpler proof of the above first noticed by Jake Rasmussen.

### Khovanov homology

 $CKh(\mathcal{B})$ 

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Khovanov defined a bigraded (co)homology for knots using the **<u>cube of resolutions</u>** of a diagram D.



### Khovanov homology

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Khovanov defined a bigraded (co)homology for knots using the <u>cube of resolutions</u> of a diagram D.



Generators are resolutions with components labeled by generators  $\{v_{\pm}\}$  of the Frobenius algebra  $\mathcal{A} \cong \frac{\mathbb{F}[x]}{(x^2)}$ . Differential is defined using the multiplication and comultiplication of  $\mathcal{A}$ .

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Let N be the number of crossings in D and let  $\epsilon \in \{0, 1\}^N$  encode a resolution  $D_{\epsilon}$  with C components.

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Let 
$$\alpha = v_1 \otimes \cdots \otimes v_C \in \mathcal{A}^{\otimes C} =: \mathcal{A}(D_{\epsilon}).$$

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Let 
$$\alpha = v_1 \otimes \cdots \otimes v_C \in \mathcal{A}^{\otimes C} =: \mathcal{A}(D_{\epsilon}).$$

 $\deg(v_{\pm 1}) = \pm 1$ 

 $|\epsilon| := \sum \epsilon(i)$ 

 $N_{\pm} := \#(\pm \text{-crossings})$  $\deg(\otimes^{i} v_{i}) := \sum \deg(v_{i})$ 

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$$\deg(\otimes^i v_i) := \sum \deg(v_i)$$

 $N_{\pm} := \#(\pm \text{-crossings})$ 

(co)homological grading

 $|\epsilon| := \sum_{i=1} \epsilon(i)$ 

$$gr_h(\alpha) := |\not| - N_-$$

#### quantum grading

$$gr_q(\alpha) := \deg(\alpha) + gr_h(\alpha) + N_+ - N_-$$

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The q-grading on Khovanov homology defines a (descending) filtration of  $\operatorname{CKh}(K;\mathbb{F})$ :

 $\mathcal{F}_j = \{ \alpha \in \operatorname{CKh}(K; \mathbb{F}) \mid gr_q(\alpha) \ge j \}$ 

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Lee modified the differential of  $\operatorname{CKh}(K; \mathbb{Q})$  to produce a filtered complex  $(\operatorname{CKh}_{Lee}, d_{Lee}, \mathcal{F}_{\bullet})$  called the **Lee complex**.

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$$\operatorname{Kh}_{Lee}(K) = \bigoplus_{h,s \in \mathbb{Z}} \operatorname{Kh}_{Lee}^{h,s}(K)$$

where the *s*-grading is induced by the filtration  $\mathcal{F}_{\bullet}$ .

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$$\operatorname{Kh}_{Lee}(K) = \bigoplus_{h,s \in \mathbb{Z}} \operatorname{Kh}_{Lee}^{h,s}(K)$$

where the *s*-grading is induced by the filtration  $\mathcal{F}_{\bullet}$ . For  $\Sigma$  a connected, oriented cobordism between knots  $K_0$  and  $K_1$ , there's a filtered isomorphism  $\phi_S : \operatorname{Kh}_{Lee}(K_0) \to \operatorname{Kh}_{Lee}(K_1)$  of degree  $-2g(\Sigma)$ .

#### Lee generators

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For *L* a link on *n*-components, Lee also proved  $\operatorname{Kh}_{Lee}(L) \cong \mathbb{Q}^{2n}$ via a concrete bijection of generators and orientations on *L*. We will use the basis

$$a = v_- + v_+$$
  $b = v_- - v_+$ 

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For L a link on n-components, Lee also proved  $\operatorname{Kh}_{Lee}(L) \cong \mathbb{Q}^{2n}$ via a concrete bijection of generators and orientations on L. We will use the basis



else:

label(C) = b

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 For a knot K, Rasmussen noticed the largest s grading supported in Kh<sub>Lee</sub>(K) is always 2 more than the smallest supported s-grading.

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 For a knot K, Rasmussen noticed the largest s grading supported in Kh<sub>Lee</sub>(K) is always 2 more than the smallest supported s-grading.

$$s(K) := s_{\max}(K) - 1 = s_{\min}(K) + 1$$

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$$s: \mathcal{C}_s \to \mathbb{Z}$$

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• Additionally, if  $\mathfrak{s}_o$  is the Lee class for an orientation o on K, Rasmussen proved  $s(\mathfrak{s}_o) = s_{\min}(K)$ 

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• Additionally, if  $\mathfrak{s}_o$  is the Lee class for an orientation o on K, Rasmussen proved  $s(\mathfrak{s}_o) = s_{\min}(K)$ 

• With these, he was able to show  $|s(K)| \le 2g_4(K)$  and in particular  $|s(T_{p,q})| = 2g_4(T_{p,q})$ . We offer the proof.

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**Theorem**  $|s(K)| \le 2g_4(K).$ 

Proof

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# $\frac{\text{Theorem}}{|s(K)| \le 2g_4(K).}$

### Proof

Let  $S_+: K \to U$  be a cobordism of Euler characteristic  $-2g_4(K)$ .

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Theorem  $|s(K)| \le 2g_4(K).$ 

#### Proof

Let  $S_+: K \to U$  be a cobordism of Euler characteristic  $-2g_4(K)$ .

Let  $\alpha \in \operatorname{Kh}_{Lee}(K)$  have maximal *s*-grading.

 $S_+$  induces a filtered isomorphism  $\phi_{S_+}$  of degree  $-2g_4(K)$ , so...

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 $s(\phi_{S_+}(\alpha)) \ge s(\alpha) - 2g_4(K)$ 

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$$\begin{split} s(\phi_{S_+}(\alpha)) &\geq s(\alpha) - 2g_4(K) \\ \underbrace{1 \geq s(\phi_{S_+}(\alpha))}_{\phi_{S_+} \text{ an iso.}} \geq s(\alpha) - 2g_4(K) \\ \underbrace{\phi_{S_+} \text{ an iso.}}_{s_{\max}(U)=1} \end{split}$$

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$$\frac{\text{Theorem}}{|s(K)| \le 2g_4(K).}$$

#### Proof

Let  $S_+: K \to U$  be a cobordism of Euler characteristic  $-2g_4(K)$ .

Let  $\alpha \in \operatorname{Kh}_{Lee}(K)$  have maximal *s*-grading.  $S_+$  induces a filtered isomorphism  $\phi_{S_+}$  of degree  $-2g_4(K)$ , so...

$$s(\phi_{S_{+}}(\alpha)) \ge s(\alpha) - 2g_{4}(K)$$

$$\underbrace{1 \ge s(\phi_{S_{+}}(\alpha))}_{\phi_{S_{+}} \text{ an iso.}} \ge s(\alpha) - 2g_{4}(K)$$

$$\underbrace{g_{4}(K) + 1 \ge s(\alpha) = s_{max}(K)}_{g_{4}(K) + 1 \ge s(\alpha) = s_{max}(K)}$$

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 $2g_4(K) \ge s(\alpha) - 1 = s(K)$ 

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$$2g_4(K) \ge s(\alpha) - 1 = s(K)$$

Let  $S_{-}$  be the mirror of  $S_{+}$ : this gives a cobordism from the concordance inverse -K to the unknot U. By same argument get

 $s(-K) \le 2g_4(K)$ 

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Let  $S_-$  be the mirror of  $S_+$ : this gives a cobordism from the concordance inverse -K to the unknot U. By same argument get

$$s(-K) \le 2g_4(K) \xrightarrow{s(-K) = -s(K)}$$

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$$s(-K) \le 2g_4(K) \xrightarrow{s(-K) = -s(K)} s(K) \ge -2g_4(K)$$

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Let  $S_{-}$  be the mirror of  $S_{+}$ : this gives a cobordism from the concordance inverse -K to the unknot U. By same argument get

$$s(-K) \leq 2g_4(K) \xrightarrow{s(-K) = -s(K)} s(K) \geq -2g_4(K)$$
  
Therefore  $|s(K)| \leq 2g_4(K)$ .
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### Given a positive torus knot,



note for any orientation o, we have

$$gr_h(\mathfrak{s}_o) = 0$$

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note for any orientation o, we have

 $gr_h(\mathfrak{s}_o) = 0$ 

(lowest possible)



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resolution

note for any orientation o, we have

 $\underbrace{gr_h(\mathfrak{s}_o) = 0}_{i} \xrightarrow{[\alpha] = [\mathfrak{s}_o] \Leftrightarrow \alpha = \mathfrak{s}_o}_{i}$ 

(lowest possible)



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note for any orientation o, we have have

$$\underbrace{gr_h(\mathfrak{s}_o)=0}_{of \text{ summand in } \mathfrak{s}_o} \xrightarrow{[\alpha]=[\mathfrak{s}_o] \Leftrightarrow \alpha=\mathfrak{s}_o} s[\mathfrak{s}_0] = \operatorname{smallest}_{of \text{ summand in } \mathfrak{s}_o} s[\mathfrak{s}_0] = \operatorname{smallest}_{of \text{ summand in } \mathfrak{s$$

(lowest possible)







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Finally, conclude

 $2g_3(K)$ 

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### Finally, conclude

 $2g_3(K) \le 2g(\Sigma)$ 

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### Finally, conclude

 $2g_3(K) \leq \underbrace{2g(\Sigma) = 2 - \chi(\Sigma) - 1}_{\text{genus formula}}$ 

Finally, conclude

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 $2g_3(K) \le \underbrace{2g(\Sigma) = 2 - \chi(\Sigma) - 1}_{= s(K) \le 2g_4(K)}$ 

genus formula

#### I. The Milnor Conjecture

- Khovanov homology
- Lee homology
- $\bullet$  The  $s\mathchar`-invariant$
- Smooth 4-genus bound
- Proof of Milnor

Conjecture

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### Finally, conclude

$$2g_3(K) \le \underbrace{2g(\Sigma) = 2 - \chi(\Sigma) - 1}_{\text{genus formula}} = s(K) \le 2g_4(K)$$

Since  $g_3(K) \ge g_4(K)$  in general, have equality.

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# **II. Squeezed knots**

### The cobordism distance

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Let K and J be knots. Define their  $\underline{\mathbf{cobordism\ distance}}$  to be

$$d_{cob}(K,J) := g_4(K \# - J)$$

### The cobordism distance

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Let K and J be knots. Define their **<u>cobordism distance</u>** to be

$$d_{cob}(K,J) := g_4(K \# - J)$$

This is not a metric on knots, but does define a metric on the **smooth concordance group**  $C_s$ .

### The cobordism distance

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 $d_{cob}(K,J) := g_4(K \# - J)$ 

This is not a metric on knots, but does define a metric on the **smooth concordance group**  $C_s$ .

Squeezed knots are cross-sections of genus minimizing cobordisms between a positive torus knot and a negative torus knot.

### Species of squeezed knots

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Other than torus knots, many natural and interesting classes are squeezed!

Positive knots

Knots that admit diagrams with only positive / negative crossings.

### • Alternating knots

Knots that admits a diagram whose crossings alternate between over and under.

- **Quasipositive knots** Knots arising as closures of braid words consisting of conjugated Artin generators.
- Quasihomogenous knots Knots arising from an ribbon-immersed plumbing of two surfaces together along a disk: one bounded by a quasipositive knot and the other bounded by a quasinegative knot.

### **Quasipositive knots**

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Choose a quasipositive braid β, the conjugated Artin generators in β give ribbon-immersed bands between the Seifert circles of β. Result is the **Rudolph surface** of β.



• Puncturing one of the Seifert disks of  $\overline{\beta}$  turns R into a cobordism from  $\overline{\beta}$  to the unknot.

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• Let  $\beta \in B_n$  be a quasipositive braid word.



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• Let  $\beta \in B_n$  be a quasipositive braid word.



• Suppose  $\beta$  has I inverse Artin generators and A + I Artin generators and let R be its associated (once-punctured) Rudolph surface.



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• Let  $\beta \in B_n$  be a quasipositive braid word.



Suppose  $\beta$  has I inverse Artin generators and A + I Artin generators and let R be its associated (once-punctured) Rudolph surface.



• Goal: extend R to get a cobordism  $\Sigma$  from a torus knot to the unknot and then show that cobordism has minimal genus.

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• Add *I* positive bands to the braid to cancel the inverse Artin generators.



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• Add *I* positive bands to the braid to cancel the inverse Artin generators.



• For some p, we can add p(n-1) - (A + I) additional positive bands to get a braid whose closure is  $T_{n,p}$ .



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• Add *I* positive bands to the braid to cancel the inverse Artin generators.



For some p, we can add p(n-1) - (A + I) additional positive bands to get a braid whose closure is  $T_{n,p}$ .



• Adding these bands to  $\overline{\beta}$  gives a cobordism *C* from  $\overline{\beta}$  to  $T_{n,p}$ .

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 Add I positive bands to the braid to cancel the inverse Artin generators.



For some p, we can add p(n-1) - (A + I) additional positive bands to get a braid whose closure is  $T_{n,p}$ .



• Adding these bands to  $\overline{\beta}$  gives a cobordism C from  $\overline{\beta}$  to  $T_{n,p}$ . Concatenating C with R gives a cobordism  $\Sigma$  from  $T_{n,p}$  to the unknot.

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Note the Euler characteristic of  $\boldsymbol{\Sigma}$  is

$$n - \left( (p(n-1) - (A+I)) + (A+I) \right) = n - pn + p$$

From which the genus formula yields

$$g(\Sigma) = 1 - \frac{(n-pn+p)+1}{2} = \frac{(n-1)(p-1)}{2} = g_4(T_{n,p})$$

Therefore  $\Sigma$  is genus minimizing.  $\Box$ 

### Consequences

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Corollary If R is a Rudolph surface for a quasipositive knot K, then  $g_4(K) = g(R)$ .

### Consequences

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# Corollary If R is a Rudolph surface for a quasipositive knot K, then $g_4(K) = g(R)$ .

Corollary

 $g_4$  is additive on quasipositive knots (and therefore, on positive torus knots).

#### I. The Milnor Conjecture

Lemma

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If K is a slice of a (connected) genus minimizing cobordism from a (quasi)positive knot to a (quasi)negative knot, then K is squeezed.



#### I. The Milnor Conjecture

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Proof

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If K is a slice of a (connected) genus minimizing cobordism from a (quasi)positive knot to a (quasi)negative knot, then K is squeezed.

Proof replace one genus minimizing cobordism w/ another

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If K is a slice of a (connected) genus minimizing cobordism from a (quasi)positive knot to a (quasi)negative knot, then K is squeezed.

Proof ("quasihim ogeneous") ("quasihim ogen

Using this lemma, Feller, Lewark, and Lobb prove that alternating and quasihomogenous knots are squeezed.

### **Ribbon Cobordisms**

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In showing alternating knots are squeezed, we showed an arbitrary alternating knot resides in a ribbon cobordism

(1) 
$$T_+ \xrightarrow{\mathscr{R}_1} A_+ \xrightarrow{\mathscr{R}_2} A \xrightarrow{\mathscr{R}_3} A_- \xrightarrow{\mathscr{R}_4} T_-$$

### **Ribbon Cobordisms**

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$$(1) \qquad T_{+} \xrightarrow{\mathscr{R}_{1}} A_{+} \xrightarrow{\mathscr{R}_{2}} A \xrightarrow{\mathscr{R}_{3}} A_{-} \xrightarrow{\mathscr{R}_{4}} T_{-}$$

Similarly, quasihomogenous knots live in a "co-span" of ribbon cobordisms

$$(2) \qquad T_{+} \xrightarrow{\mathscr{R}_{+}} Q \xleftarrow{\mathscr{R}_{-}} T_{-}$$

All squeezing cobordisms given by Feller, Lewark, and Lobb fall into one of these cases.
#### **Ribbon Cobordisms**

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All squeezing cobordisms given by Feller, Lewark, and Lobb fall into one of these cases.

#### Question

Are all squeezed knots contained in cobordisms of forms 1 or 2?

II. Squeezed knots

III. Slice torus invariants and squeezed knots

• Slice torus invariants

• Stable invariants

• The Feller-Lewark-Lobb theorems

•  $\ell(K)$  is well-defined

• K squeezed  $\Rightarrow \ell(K) = -\ell(-K)$ •  $\{\phi(K)\}_{\phi \in ST} = [-\ell(-K), \ell(K)]$ 

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# III. Slice torus invariants and squeezed knots

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III. Slice torus invariants and squeezed knots

- Slice torus invariants
- Stable invariants
- The

Feller-Lewark-Lobb theorems

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$$K$$
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Only known method for proving a knot is squeezed is to exhibit a genus minimizing cobordism.

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Only known method for proving a knot is squeezed is to exhibit a genus minimizing cobordism.

Let  $\phi:\mathcal{C}_s 
ightarrow \mathbb{R}$  be a group homomorphism.

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V. Future directions

Only known method for proving a knot is squeezed is to exhibit a genus minimizing cobordism.

Let  $\phi:\mathcal{C}_s 
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 $\phi$  is a **<u>slice torus invariant</u>** if for any knot K we have

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 $|\phi[K]| \le g_4(K)$ 

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 $|\phi[T_{p,q}]| = g_4(T_{p,q})$ 

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Examples include  $\frac{s}{2}$  and Oszváth and Szabó's  $\tau$  invariant.

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Examples include  $\frac{s}{2}$  and Oszváth and Szabó's  $\tau$  invariant.

Denote the collection of all slice torus invariants by  $\mathcal{ST}$ .



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#### Livingston defines the stable 4-ball genus to be

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Livingston defines the stable 4-ball genus to be

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$$\widehat{g_4}(K) = \lim_{n \to \infty} \frac{g_4(\#^n K)}{n} = \lim_{n \to \infty} \frac{g_4(\overline{K \# K \# \cdots \# K})}{n}$$

n-fold connect sum

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n-fold connect sum

Feller, Lewark, and Lobb define the  $\underline{\ell}$ -invariant of K to be

$$\ell(K) = \lim_{p \to \infty} \widehat{g}_4(K \# T_{p,p+1}) - \widehat{g}_4(T_{p,p+1})$$

```
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```

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## Feller-Lewark-Lobb theorems

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Squeezed knot valuation theorem (Feller, Lewark, Lobb '21) If K is squeezed, then  $\ell(K) = -\ell(-K)$ .

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Squeezed knot valuation theorem (Feller, Lewark, Lobb '21) If K is squeezed, then  $\ell(K) = -\ell(-K)$ .

Slice torus value theorem (Feller, Lewark, Lobb '21)

The set of all values in  $\mathbb R$  taken on by K under slice torus invariants is  $[-\ell(-K),\ell(K)].$ 

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Squeezed knot valuation theorem (Feller, Lewark, Lobb '21) If K is squeezed, then  $\ell(K) = -\ell(-K)$ .

Slice torus value theorem (Feller, Lewark, Lobb '21) The set of all values in  $\mathbb{R}$  taken on by K under slice torus invariants is  $[-\ell(-K), \ell(K)]$ .

## Corollary

All slice torus invariants agree on squeezed knots.

## $\ell(K)$ is well-defined

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III. Slice torus invariants and squeezed knots

- Slice torus invariants
- Stable invariants

• The Feller-Lewark-Lobb theorems

```
• \ell(K) is well-defined
```

```
• K squeezed

\Rightarrow \ell(K) = -\ell(-K)

•

\{\phi(K)\}_{\phi \in ST} = [-\ell(-K), \ell(K)]
```

**IV. Obstructions** 

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Lemma (Livingston '10)

 $\widehat{g_4}$  is well-defined and induces a seminorm on the topological vector space  $\mathcal{C}_s\otimes\mathbb{R}$ .

#### This implies

$$t_p(K) := \widehat{g}_4(K \# T_{p,p+1}) - \widehat{g}_4(T_{p,p+1}) \ge 0.$$

So  $\ell$  will be well-defined if we can show  $t_p(K)$  is monotone decreasing.

$$\underline{\ell(K)} = \lim_{p \to \infty} \widehat{g}_4(T_{p,p+1}(K) - \widehat{g}_4(T_{p,p+1}))$$

III. Slice torus invariants and squeezed knots

II. Squeezed knots

• Stable invariants

Feller-Lewark-Lobb

 $\bullet$  K squeezed

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theorems

Recall 
$$T_{p-1,p}$$
 is the closure of  $\beta = (\sigma_1 \sigma_2 \cdots \sigma_{p-1})^{p-1}$ 

• Slice torus invariants •  $\ell(K)$  is well-defined  $\Rightarrow \ell(K) = -\ell(-K)$ T<sub>3,4</sub>  $\{\phi(K)\}_{\phi \in \mathcal{ST}} = \\ [-\ell(-K), \ell(K)]$ T<sub>4,5</sub> Adding 2(p-1) bands gives a cobordism

$$C: T_{p-1,p} \to T_{p,p+1}$$
  $g(C) = \frac{2(p-1)}{2} = p-1$ 

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Let Q be a genus minimizing cobordism from  $\#^n(T_{p-1,p}\#K)$  to the unknot.



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Let Q be a genus minimizing cobordism from  $\#^n(T_{p-1,p}\#K)$  to the unknot.



Gluing  $\#^n C$  to Q gives a cobordism  $\widetilde{Q}: \#^n(T_{p,p+1} \# K) \to U$ 

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• Slice torus invariants

• Stable invariants

• The

Feller-Lewark-Lobb theorems

•  $\ell(K)$  is well-defined

• K squeezed  $\Rightarrow \ell(K) = -\ell(-K)$ •  $\{\phi(K)\}_{\phi \in ST} = [-\ell(-K), \ell(K)]$ 

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Let Q be a genus minimizing cobordism from  $\#^n(T_{p-1,p}\#K)$  to the unknot.



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$$g(\widetilde{Q}) =$$

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$$g(\widetilde{Q}) = g(Q) + \underbrace{n(p-1)}_{g(\#^n C)}$$

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Gluing  $\#^n C$  to Q gives a cobordism  $\widetilde{Q} : \#^n(T_{p,p+1} \# K) \to U$ 

$$g(\tilde{Q}) = g(Q) + \underbrace{n(p-1)}_{g(\#^n C)} = \underbrace{g_4(\#^n(T_{p-1,p}\#K)))}_{g(Q)} + n(p-1)$$

 $\underline{\ell(K)} = \lim_{p \to \infty} \widehat{g}_4(T_{p,p+1}(K) - \widehat{g}_4(T_{p,p+1}))$ 

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$$\underbrace{g_4(\#^n(T_{p,p+1}\#K))}_{\leq g(\tilde{Q})} \leq \underbrace{g_4(\#^n(T_{p-1,p}\#K))) + n(p-1)}_{=g(\tilde{Q})}$$

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Algebra and observation that  $\widehat{g}_4(T_{p,q}) = g_4(T_{p,q})$  give

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Algebra and observation that  $\widehat{g}_4(T_{p,q}) = g_4(T_{p,q})$  give

$$\frac{g_4(\#^n(T_{p,p+1}\#K))}{n} - \hat{g_4}(T_{p,p+1})$$

 $\ell(K) = \lim_{p \to \infty} \widehat{g}_4(T_{p,p+1}(K) - \widehat{g}_4(T_{p,p+1}))$ 

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 $\ell(K) = \lim_{p \to \infty} \widehat{g}_4(T_{p,p+1}(K) - \widehat{g}_4(T_{p,p+1}))$ 

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Algebra and observation that  $\widehat{g}_4(T_{p,q}) = g_4(T_{p,q})$  give  $\frac{g_4(\#^n(T_{p,p+1}\#K))}{n} - \widehat{g}_4(T_{p,p+1}) \le \frac{g_4(\#^n(T_{p-1,p}\#K)))}{n} - \widehat{g}_4(T_{p-1,p})$ 

Inequality is preserved under limits:

$$t_p(K) = \lim_{n \to \infty} \frac{g_4(\#^n(T_{p,p+1}\#K))}{n} - \widehat{g}_4(T_{p,p+1})$$
  
$$\leq \lim_{n \to \infty} \frac{g_4(\#^n(T_{p-1,p}\#K)))}{n} - \widehat{g}_4(T_{p-1,p}) = t_{p-1}(K)$$

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Lemma If K is squeezed, there is a  $p\in\mathbb{N}$  such that K squeezed between  $T_{p,p+1}$  and  $-T_{p,p+1}.$  Let

$$C_+: T_{p,p+1} \to K \qquad \qquad C_-: K \to -T_{p,p+2}$$

be the cobordisms implicit in the lemma, then

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**Lemma** If K is squeezed, there is a  $p \in \mathbb{N}$  such that K squeezed between  $T_{p,p+1}$  and  $-T_{p,p+1}$ . Let

 $C_+: T_{p,p+1} \to K \qquad \qquad C_-: K \to -T_{p,p+1}$ 

be the cobordisms implicit in the lemma, then

 $0 \le \ell(K) - (-\ell(-K))$ 

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be the cobordisms implicit in the lemma, then

$$\underbrace{0 \le \ell(K) - (-\ell(-K))}_{\ell(K) \ge 0} \le t_p(K) + t_p(-K)$$

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be the cobordisms implicit in the lemma, then

$$\underbrace{0 \leq \ell(K) - (-\ell(-K))}_{\ell(K) \geq 0} \leq \underbrace{t_p(K) + t_p(-K)}_{t_p(K) \text{ monotone decreasing and } t_p(K) \rightarrow \ell(K)}$$

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$$\underbrace{0 \le \ell(K) - (-\ell(-K))}_{\ell(K) \ge 0} \le \underbrace{t_p(K) + t_p(-K)}_{t_p(K) \text{ monotone decreasing}}$$
  
and  $t_p(K) \to \ell(K)$ 

 $= \widehat{g}_4(T_{p,p+1} \# K) + \widehat{g}_4(T_{p,p+1} \# - K) - g_4(T_{p,p+1} \# T_{p,p+1})$ 

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$$= \widehat{g}_4(T_{p,p+1} \# K) + \widehat{g}_4(T_{p,p+1} \# - K) - \underbrace{g_4(T_{p,p+1} \# T_{p,p+1})}_{=}$$

 $\widehat{g_4}(T_{p,p+1}) = g_4(T_{p,p+1})$ and  $g_4$  additive on positive torus knots

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be the cobordisms implicit in the lemma, then  $0 < \ell(U) = (-\ell(U)) < -\ell(U) + \ell(U)$ 

$$\underbrace{0 \leq \ell(K) - (-\ell(-K))}_{\ell(K) \geq 0} \leq \underbrace{t_p(K) + t_p(-K)}_{t_p(K) \text{ monotone decreasing}}$$
$$= \widehat{g_4}(T_{p,p+1} \# K) + \widehat{g_4}(T_{p,p+1} \# - K) - \underbrace{g_4(T_{p,p+1} \# T_{p,p+1})}_{\ell(K)}$$

 $\widehat{g_4}(T_{p,p+1}) = g_4(T_{p,p+1})$  and  $g_4$  additive on positive torus knots

$$\leq \underbrace{g(C_{+}) + g(C_{-})}_{\widehat{g}_{4}(K) \leq g_{4}(K)} - d_{cob}(T_{p,p+1}, -T_{p,p+1}) = 0 \quad \Box$$
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#### <u>Lemma</u>

For any  $[K] \in \mathcal{C}_s$  and any slice torus invariant  $\phi$ , we have  $\phi[K] \leq \widehat{g_4}(K)$ .

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Lemma

For any  $[K] \in \mathcal{C}_s$  and any slice torus invariant  $\phi$ , we have  $\phi[K] \leq \widehat{g_4}(K)$ .

$$\phi(-K) = \phi(T_{p,p+1} \# - K) - \phi(T_{p,p+1})$$

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$$\phi(-K) = \phi(T_{p,p+1} \# - K) - \phi(T_{p,p+1})$$

$$\leq \widehat{g}_4 \Big( T_{p,p+1} \# - K \Big) - \widehat{g}_4 (T_{p,p+1})$$

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Lemma For any  $[K] \in C_s$  and any slice torus invariant  $\phi$ , we have  $\phi[K] \leq \widehat{g}_4(K)$ .

Let  $\phi$  be a slice torus invariant, then...

$$\phi(-K) = \phi(T_{p,p+1} \# - K) - \phi(T_{p,p+1})$$

$$\leq \widehat{g}_4 \Big( T_{p,p+1} \# - K \Big) - \widehat{g}_4 (T_{p,p+1}) = t_p(-K)$$

Taking the limit as  $p \to \infty$  yields an inequality

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$$\leq \widehat{g}_4 \Big( T_{p,p+1} \# - K \Big) - \widehat{g}_4 (T_{p,p+1}) = t_p(-K)$$

Taking the limit as  $p \to \infty$  yields an inequality  $-\phi[K] = \phi[-K] \le \ell(-K) \Rightarrow \phi[K] \ge -\ell(-K)$ 

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$$\leq \widehat{g}_4 \Big( T_{p,p+1} \# - K \Big) - \widehat{g}_4 (T_{p,p+1}) = t_p(-K)$$

Taking the limit as  $p \to \infty$  yields an inequality  $-\phi[K] = \phi[-K] \le \ell(-K) \Rightarrow \phi[K] \ge -\ell(-K)$ 

A similar procedure gives the upper bound of  $\ell(K)$ .  $\Box$ 

 $\{\phi(K)\}_{\phi\in\mathcal{ST}} = [-\ell(-K), \ell(K)]$ 

**Proof sketch** 

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Proof sketch

Let  $\mathcal{T} \subset \mathcal{C}_s \otimes \mathbb{R}$  denote the subspace generated by positive torus knots.

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#### **Proof sketch**

Let  $\mathcal{T} \subset \mathcal{C}_s \otimes \mathbb{R}$  denote the subspace generated by positive torus knots.

Using Levine-Tristram signatures, Litherland proved that the positive torus knots are linearly independent in  $C_s \otimes \mathbb{Q}$ .

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Let  $\mathcal{T} \subset \mathcal{C}_s \otimes \mathbb{R}$  denote the subspace generated by positive torus knots.

Using Levine-Tristram signatures, Litherland proved that the positive torus knots are linearly independent in  $C_s \otimes \mathbb{Q}$ .

Therefore,  $\exists ! f : \mathcal{T} \cap (\mathcal{C}_s \otimes \mathbb{Q}) \to \mathbb{Q}$  such that  $f(T_{p,q}) = g_4(T_{p,q})$  for positive torus knots  $T_{p,q}$ .

**Proof sketch** 

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Let  $\mathcal{T} \subset \mathcal{C}_s \otimes \mathbb{R}$  denote the subspace generated by positive torus knots.

Using Levine-Tristram signatures, Litherland proved that the positive torus knots are linearly independent in  $C_s \otimes \mathbb{Q}$ .

Therefore,  $\exists ! f : \mathcal{T} \cap (\mathcal{C}_s \otimes \mathbb{Q}) \to \mathbb{Q}$  such that  $f(T_{p,q}) = g_4(T_{p,q})$  for positive torus knots  $T_{p,q}$ .

Additivity of  $\widehat{g}_4$  on positive torus knots and  $\widehat{g}_4$  a seminorm imply  $f \leq \widehat{g}_4|_{\mathcal{T} \cap (\mathcal{C}_s \otimes \mathbb{Q})}$ 

**Proof sketch** 

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II. Squeezed knots

III. Slice torus invariants and squeezed knots

- Slice torus invariants
- Stable invariants

• The Feller-Lewark-Lobb

theorems

 $\bullet \ell(K)$  is well-defined

```
• K squeezed

\Rightarrow \ell(K) = -\ell(-K)
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 \{\phi(K)\}_{\phi \in \mathcal{ST}} = \\ [-\ell(-K), \ell(K)]
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We then complete f and  $\hat{g}_4$  over  $\mathbb{R}$  to get a  $\mathbb{R}$ -valued functional f satisfying  $\tilde{f} \leq \hat{g}_4|_{\mathcal{T}}$ .

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Having done so, one can show  $F \leq \widehat{g}_4|_{\mathcal{T}_K}$ .

Recall  $\widehat{g}_4$  is a semi-norm on  $\mathcal{C}_s$  and therefore a subadditive function. we conclude F may be extended to all of  $\mathcal{C}_s \otimes \mathbb{R}$  by Hahn-Banach.

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Let  $W^+(K, t)$  denote the *t*-twisted positive Whitehead double of *K*.



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Let  $W^+(K, t)$  denote the *t*-twisted positive Whitehead double of *K*.



**Theorem** (Hedden and Ording '05)

$$\tau(W^+(T_{2,2n+1},t)) = \begin{cases} 0 & t > 2n-1\\ 1 & t \le 2n-1 \end{cases}$$

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Some computer computations revealed  $\frac{s}{2} \neq \tau$  on the knots:  $W^+(T_{2,5},5), W^+(T_{2,5},4), W^+(T_{2,7},7)$  and a few others.

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<u>**Theorem</u>** (Lewark-Zibrowius '22) For *P* a pattern,<sup>1</sup> *K* a knot, and  $\phi \in ST$ , there is a unique value  $\vartheta_{\phi}(P, K) \in \mathbb{Z} \cup \infty$  such that</u>

$$\phi(P(K,t)) = \phi(P(K,t-1)) - \begin{cases} 1 & t = \vartheta_{\phi}(P,K) \\ 0 & \text{else} \end{cases}$$

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**<u>Question</u>** What is  $\vartheta_s(W^+, T_{2,2n+1})$ ?

<sup>1</sup> with wrapping number 2 and winding number zero

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Problem: not very many known slice torus invariants!

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Feller-Lewark-Lobb define a squeezing obstruction to be a map  $f: \mathcal{C}_s \to (M,d)$  into a metric space such that

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3. For  $p, q \ge 1$  and coprime,  $f(T_{\pm p,q}) = \pm g_4(T_{p,q})$ .

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**Lemma** If *K* squeezed between  $T_+$  and  $T_-$ , then for any squeezing obstruction *f*, one has

$$f[K] = g_4(T_+) - g_4(T_+ \# - K) = g_4(K \# - T_-) - g_4(T_-)$$
# **Squeezing obstructions**

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Note slice torus invariants are squeezing obstructions and that all squeezing obstructions are equal on squeezed knots!

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• Lipshitz and Sarkar found a suspension spectra for Khovanov homology.

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  - Consequently, Khovanov homology admits Steenrod squares

 $\operatorname{Sq}^{n} : \operatorname{Kh}(K) \xrightarrow{gr_{h} \mapsto gr_{h} + n} \operatorname{Kh}(K).$ 

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> i.e. a certain commutative
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$$s^{\alpha}_{+}(K) = \max\{q \in 2\mathbb{Z} + 1 \mid q \text{ is } \alpha \text{-full}\} + 3$$

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$$r^{\alpha}_{-}(K) = -r^{\alpha}_{+}(-K) \qquad s^{\alpha}_{-}(K) = -s^{\alpha}_{+}(-K)$$

<sup>2</sup>or, more generally, any stable cohomology operation

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Letting  $\ell s$  denote any of the Lipshitz-Sarkar refinements, Feller-Lewark and Lobb prove that  $\ell s$  is a squeezing obstruction.

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Lipshitz and Sarkar found several knots where  $s_+^{Sq^2}$  disagrees with  $s^{\mathbb{F}_2}$ .

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Lipshitz and Sarkar found several knots where  $s_{+}^{Sq^2}$  disagrees with  $s^{\mathbb{F}_2}$ . Their examples with  $\leqslant 10$  crossings are:



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III. Slice torus invariants and squeezed knots

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# **V. Future directions**

I. The Milnor Conjecture

II. Squeezed knots

III. Slice torus invariants and squeezed knots

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Are torsion generators of  $C_s$  (negative amphichiral knots in particular) all squeezed?

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• Are torsion generators of  $C_s$  (negative amphichiral knots in particular) all squeezed?

If K is a torsion generator, then  $\widehat{g_4}(K)=0=\ell(K),$  so all slice torus invariants will agree on K.

I. The Milnor Conjecture

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If K is a torsion generator, then  $\widehat{g_4}(K)=0=\ell(K),$  so all slice torus invariants will agree on K.

• Can we explicitly compute  $\vartheta_s(W^+, K)$  and extend Hedden and Ording's result?

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In general, is there anyway to determine  $s(P_t(K))$  using s(K)?

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• Alfieri, Kang, and Stipsicz defined an invariant  $\mathrm{HFB}_{conn}^-$  inspired by using involutive techniques on the Heegaard Floer homology of the double branched cover.

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For K an alternating or torus knot, we have

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How will it behave for general squeezed knots?

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I. The Milnor Conjecture

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To a diagram D, one may resolve all crossings as 0 or 1 to get naturally associated diagrams  $D_{\vec{0}}$  and  $D_{\vec{1}}$ .

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To a diagram D, one may resolve all crossings as 0 or 1 to get naturally associated diagrams  $D_{\vec{0}}$  and  $D_{\vec{1}}$ .

Capping off and performing band attachments, one forms the **Turaev surface of the diagram** D.

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The **Turaev genus**  $g_T(K)$  of a knot K (or more generally, a non-split link) is the minimum across the Turaev genera of its diagrams.

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The **Turaev genus**  $g_T(K)$  of a knot K (or more generally, a non-split link) is the minimum across the Turaev genera of its diagrams.

 $g_T(K)$  is fairly mysterious and has some interesting properties:

- 1.  $g_T(K) = 0$  if and only if K is alternating
- 2.  $g_T(K)$  provides a lower bound the Khovanov width and the knot Floer width

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Jung, Kang, and Kim more-or-less prove the following:

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Jung, Kang, and Kim more-or-less prove the following:

<u>Theorem</u> (Jung-Kang-Kim '21) Let  $\phi, \psi \in ST$ , then  $\phi(K) - \psi(K) \leq g_T(K)$ .

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```

### **Observation**

In light of the Feller-Lewark-Lobb theorems, the strongest version of Jung-Kang-Kim's bound is

$$\ell(K) + \ell(-K) \le g_T(K)$$

### Questions

Why do slice torus invariants give bounds on the Turaev genus? Is this a coincidence, or is there a relationship between  $C_s$  and  $g_T$ ?

I. The Milnor Conjecture

II. Squeezed knots

• The cobordism distance

- Squeezed Knots
- Species of squeezed knots
- Quasipositive knots
- Alternating knots
- Quasihomogenous knots
- Ribbon Cobordisms

III. Slice torus invariants and squeezed knots

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Leveraging the lemma, we can show alternating knots are squeezed by proving the following.

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Leveraging the lemma, we can show alternating knots are squeezed by proving the following.

**Proposition** Every alternating knot is in a squeezing cobordism between a positive alternating knot and a negative alternating knot.

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If  $|\pi_0(D_o)| = N$ , can choose N - 1 crossings to connect all components of  $D_o$ , call these  $X_o$ .

I. The Milnor Conjecture

#### Build two diagrams

- II. Squeezed knots
- The cobordism distance
- Squeezed Knots
- Species of squeezed knots
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The negative / positive crossings of  $D_{\pm}$  will be nugatory, flip them to get a positive / negative link diagram.

I. The Milnor Conjecture

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Add positive / negative bands to produce positive / negative alternating knots.

I. The Milnor Conjecture

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The negative / positive crossings of  $D_{\pm}$  will be nugatory, flip them to get a positive / negative link diagram.



Add positive / negative bands to produce positive / negative alternating knots. A similar Euler characteristic computation to qpos. case confirms this cobordism minimizes genus.

# Quasihomogenous knots

I. The Milnor Conjecture

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• The cobordism distance

Squeezed Knots

• Species of squeezed knots

• Quasipositive knots

• Alternating knots

• Quasihomogenous knots

Ribbon Cobordisms

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**Slogan:** "A ribbon-immersed plumbing  $\Sigma$  of two ribbon-immersed surfaces  $Q_{\pm}$  is similar to a Murasugi sum."
# Quasihomogenous knots

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### The crucial difference:

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III. Slice torus invariants and squeezed knots

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### The crucial difference:

We allow  $Q_{\pm}$  to have ribbon singularities inside their respective copies of the identification region D,

## Quasihomogenous knots

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### The crucial difference:

We allow  $Q_{\pm}$  to have ribbon singularities inside their respective copies of the identification region D,but otherwise the images of  $Q_{\pm}$  into  $\Sigma$  are disjoint.

## Quasihmogenous knots are squeezed

I. The Milnor Conjecture

#### II. Squeezed knots

- The cobordism distance
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- Quasipositive knots
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Proof follows from showing the cobordism from the immersed plumbing of the quasipositive/negative surfaces is genus minimzing.



# $\ell s$ is a squeezing obstruction

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Obstructing
Squeezed-ness with
slice torus invariants
Squeezing
obstructions
Lipshitz-Sarkar
Refinements of s

V. Future directions

Letting  $\ell s$  denote any of the Lipshitz-Sarkar refinements. Feller, Lewark, and Lobb prove that  $\frac{\ell s}{2}$  is a squeezing obstruction.

Proof sketch

Lipshitz and Sarkar prove that  $|\ell s(K) - s(K)| \in \{0, 2\}$  and that

$$|\mathbf{s}| \leq 2d_{cob}(K,J) = \mathbf{s}(K,J)$$

so one needs to verify  $\ell s(T_{p,q}) = 2g_4(T_{p,q})$ .

<u>Theorem</u> (Lipshitz-Sarkar '05) If for some  $n \in \mathbb{N}$  we have  $\operatorname{Sq}^n : \operatorname{Kh}(K) \xrightarrow{gr_h \mapsto gr_h + n} \operatorname{Kh}(K)$  is the zero map, then  $s_{\pm}^{\operatorname{Sq}^n}(K) = r_{\pm}^{\operatorname{Sq}^n} = s^{\mathbb{F}_2}$ 

# $\ell s$ is a squeezing obstruction

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**IV. Obstructions** 

Obstructing
 Squeezed-ness with
 slice torus invariants
 Squeezing
 obstructions

• Lipshitz-Sarkar Refinements of *s* 

V. Future directions

For K a positive knot and  $q \in \{s(K) \pm 1\},$  it turns out to be sufficient to show the following maps are zero

 $\operatorname{Sq}^{n}: \operatorname{Kh}^{-n,q}(K) \to \operatorname{Kh}^{0,q}(K)$ 

 $\operatorname{Sq}^{n}: \operatorname{Kh}^{0,q}(K) \to \operatorname{Kh}^{n,q}(K)$ 

Leveraging classical computations of  $Kh(T_{2,2n+1})$ , Feller, Lewark, and Lobb prove that for any knot K arising from a positive braid word, one has

 $\operatorname{Kh}^{t,q}(K) \cong 0 \qquad t \neq 0, q \in \{s(K) \pm 1\}$ 

Hence, the two relevant maps are zero and  $\ell s(K) = s^{\mathbb{F}_2}(K)$ .